

PETITION FOR EXTENSION OF TIME

[ ] Extension is requested under 37 CFR 1.136(a), and the following extension fee is applicable for the paper(s) filed herewith: [ ] \$110.00 for response within first month pursuant to 37 CFR 1.17(a)(1);  
[ ] \$390.00 for response within second month pursuant to 37 CFR 1.17(a)(2);  
[ ] \$890.00 for response within third month pursuant to 37 CFR 1.17(a)(3);  
[ ] \$1,390.00 for response within fourth month pursuant to 37 CFR 1.17(a)(4);  
[ ] \$1,890.00 for response within fifth month pursuant to 37 CFR 1.17(a)(5).

[ ] A check in the amount of [ ] \$110.00 [ ] \$390.00 [ ] \$890.00 [ ] \$1,390.00 [ ] \$1,890.00 in payment of the extension fee is transmitted herewith. {check no. }

[ ] Please charge the requisite fee of [ ] \$110.00 [ ] \$390.00 [ ] \$890.00 [ ] \$1,390.00 [ ] \$1,890.00 in payment of the additional extension fee to Deposit Account No. 50-0268.

OTHER FEES

[X] A check in the amount of \$130.00 in payment of the oath or declaration surcharge fee under 37 C.F.R. § 1.16(e) is transmitted herewith. {check no. 3596}

[X] The Commissioner is hereby authorized to charge payment of any additional fees required in connection with the paper(s) and enclosures transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 50-0268. A duplicate of this transmittal letter is enclosed.

Respectfully submitted,



Thomas R. Berka, Ph.D., Reg. No. 39,606

Leon R. Yankwich, Reg. No. 30,237

Attorney for Applicant(s)

YANKWICH & ASSOCIATES

130 Bishop Allen Drive

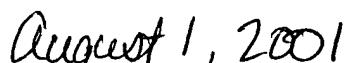
Cambridge, MA 02139

tel.: (617) 491-4343

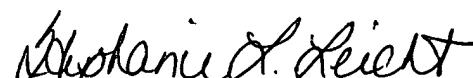
fax: (617) 491-8801

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. § 1.8

The undersigned hereby certifies that this correspondence and accompanying enclosures are being deposited with the U.S. Postal Service as First Class Mail, postage prepaid, in an envelope addressed to the Asst. Commissioner for Patents, Box MISSING PARTS, Washington, DC 20231, on the date indicated below.



date of mailing and signature



Stephanie L. Leicht



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Rondon and Ladner

Serial No.: 09/825,517

ART UNIT:

Filed: April 3, 2001

Title: **BINDING PEPTIDES FOR CARCINO-  
EMBRYONIC ANTIGEN (CEA)**

EXAMINER:

Atty. Docket No.: DYX-016.1 US

Assistant Commissioner for Patents  
**BOX MISSING PARTS**  
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

Sir:

Applicants are in receipt of a Notice to Filing Missing Parts, dated June 1, 2001, in the above-identified application. The Notice instructs Applicants to provide an executed declaration, the surcharge (\$130.00) under 37 C.F.R. § 1.16(e), a substitute set of drawings having suitable margins under 37 C.F.R. §1.84(g), a corrected Sequence Listing in compliance with the latest formatting rules of the Patent Office, and a corresponding substitute computer readable form for the Sequence Listing.

Because this response is filed within two months of the mailing date of the Notice, no additional fees are believed to be required. However, to avoid abandonment, the Commissioner is hereby authorized to charge any fee that is determined to be due to Deposit Account No. 50-0268.

Please amend the application as indicated below.

**IN THE SEQUENCE LISTING AND COMPUTER READABLE FORM**

Please delete the original Sequence Listing and substitute therefor the attached amended Sequence Listing contained on Substitute Sequence Listing Sheets 1-48.

Please replace the original computer readable form with the enclosed substitute computer readable form.

**IN THE DRAWINGS**

Please delete the original Figures 1-7 on eight sheets submitted with the original application papers and substitute therefor the accompanying eight sheets of drawings transmitted herewith.

**REMARKS****Executed Declaration/Power of Attorney**

As requested in the Notice To File Missing Parts, Applicants submit herewith a combined declaration/power of attorney, executed by inventors Rondon and Ladner. Entry of the executed combined Declaration/Power of Attorney is respectfully requested.

**Amended Sequence Listing and Substitute Computer Readable Form**

Applicants submit herewith an amended Sequence Listing in the form of Substitute Sequence Listing Sheets 1-48 (containing all SEQ ID NOS:1-151) to replace the Sequence Listing, which was originally filed with the application and which was objected to in the Notice to File Missing Parts for certain listed informalities. The specific sequences of SEQ ID NOS:1-151 in Substitute Sequence Listing are identical to those in the originally filed Sequence Listing. However, editorial and clerical errors pointed out by the OIPE/STIC have been corrected: i.e., insertion of a missing field in association with SEQ ID NO:108, correction of the current application number, spelling correction of "Gly" at line 62, insertion of a comma at line 92, spelling correction of "Phe" at line 121, and spelling correction of "Met" at line 170.

Entry of the substitute Sequence Listing and the accompanying CRF is respectfully requested.

Statements under 37 C.F.R. § 1.825(a) and (b)

The substitute Sequence Listing is provided on Substitute Sequence Listing Sheets 1-48 in accordance with 37 C.F.R. § 1.825(a). The undersigned attorney hereby states that the amendments cure obvious clerical errors, as indicated above, and that the sequence information provided on Substitute Sequence Listing Sheets 1-48 adds no new matter.

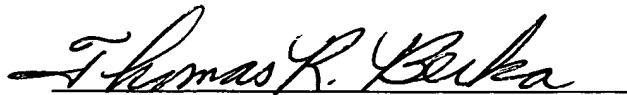
Furthermore, in accordance with 37 C.F.R. § 1.825(b), the undersigned attorney hereby states that the substitute computer readable form, submitted herewith, contains the same information as the amended Sequence Listing contained on substitute Sequence Listing sheets 1-48.

Substitute Drawings

New drawings were required in the Notice to File Missing Parts of June 1, 2001. The Notice specifically notes that the originally submitted eight sheets of drawings did not provide the top, side, and bottom margins required under 37 C.F.R. 1.84(g). New sheets of drawings are submitted herewith, each of which provide at least a 1-inch top margin, at least a 1-inch left margin, at least a 5/8-inch right margin, and at least a 3/8-inch bottom margin. Entry of the substitute eight sheets of drawings is respectfully requested.

Applicants respectfully request entry of the executed combined Declaration/Power of Attorney, the replacement sheets of drawings, Substitute Sequence Listing Sheets 1-48, and the substitute computer readable form to complete the formal requirements for this application.

Respectfully submitted,



Thomas R. Berka, Ph.D. (Reg. No. 39,606)

Leon R. Yankwich (Reg. No. 30,237)

Attorneys for Applicants

YANKWICH & ASSOCIATES

130 Bishop Allen Drive

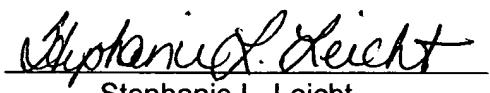
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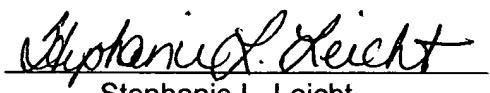
Fax: (617) 491-8801

**CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. § 1.8**

The undersigned hereby certifies that this correspondence is being deposited with the U.S. Postal Service as First Class Mail, postage prepaid, in an envelope addressed to the Asst. Commissioner for Patents, Box MISSING PARTS, Washington, DC 20231, on the date indicated below.

  
August 1, 2001

date of mailing and signature



Stephanie L. Leicht



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

#9

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/825,517	04/03/2001	Isaac Jesus Rondon	DYX-016.1 US

## CONFIRMATION NO. 2019 FORMALITIES LETTER

Leon R. Yankwich, Esq.  
YANKWICH & ASSOCIATES  
130 Bishop Allen Drive  
Cambridge, MA 02139



Date Mailed: 06/01/2001

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

08/07/2001 BNGUYEN1 00000090 500268 09825517

01 FC:105

20.00 CH 110.00 CP

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

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*A copy of this notice **MUST** be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



Creation date: 10-14-2003

Indexing Officer: HNGUYEN28 - HAO NGUYEN

Team: OIPEBackFileIndexing

Dossier: 09825517

Legal Date: 10-07-2002

No.	Doccode	Number of pages
1	IDS	4
2	FOR	156
3	NPL	16
4	NPL	14
5	NPL	6
6	NPL	8
7	NPL	5

Total number of pages: 209

Remarks:

Order of re-scan issued on .....